

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 9084-94021
INTERNATIONAL APPLICATION NO. PCT/HU2003/000079		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/530,765
INTERNATIONAL FILING DATE 7 October 2003		PRIORITY DATE October 7, 2002 and May 6, 2003
TITLE OF INVENTION METHOD FOR THE TREATMENT AND PREVENTION OF ASPHALTENE-PARAFFIN-VAX PRECIPITATES IN OIL-WELLS, WELLHEADS AND PIPELINES BY THE USE OF BIOCOLLOID SUSPENSIONS		
APPLICANT(S) FOR DO/EO/US Miklos HLATKI, Imre MECS, Sandor PUSKAS, Janos BALAZS, Miklos KALMAN and Gyula LENGYEL		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: RETURN RECEIPT POSTCARD		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known) 37 CFR INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER

10/530,765

PCT/HU2003/000079

9084-94021

The following fees are submitted:

				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee			\$300	\$ \$0.00	
22. <input type="checkbox"/> Examination fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).				\$ \$0.00	
All other situations.				\$200	
23. <input type="checkbox"/> Search fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	
International Search Report prepared and provided to the Office				\$400	
All other situations.				\$500	
TOTAL OF 21, 22 and 23 =				\$ \$0.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	0 /50 =	0	x \$250.00	\$ \$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	18 - 20 =	0	x \$50.00	\$ \$0.00	
Independent claims	3 - 3 =	0	x \$200.00	\$ \$0.00	
Multiple Dependent Claims (if applicable) <input type="checkbox"/>				+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS				= \$ \$0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ \$0.00	
SUBTOTAL				= \$ \$0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ \$130.00	
TOTAL NATIONAL FEE				= \$ \$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per				+	\$
TOTAL FEES ENCLOSED				= \$ \$130.00	
Amount to be refunded:				\$	
Amount to be charged:				\$	

- a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 23-0920. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas R. Vigil, Esq.
WELSH & KATZ, LTD.
120 South Riverside Plaza, Floor 22
Chicago, IL 60606-3912

SIGNATURE

Thomas R. Vigil

NAME

24,542

REGISTRATION NUMBER

94021
TRV GT3

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

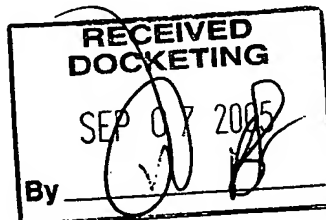
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,765	Miklos Hlatki	9084-94021

INTERNATIONAL APPLICATION NO.

PCT/HU03/00079

I.A. FILING DATE	PRIORITY DATE
10/07/2003	10/07/2002

Thomas R vigil
 Welsh & Katz
 120 South Riverside Plaza
 Floor 22
 Chicago, IL 60606



CONFIRMATION NO. 7200
 371 FORMALITIES LETTER
 OC000000016913638
 OC000000016913638

Date Mailed: 09/01/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/07/2005
- Copy of the International Search Report filed on 04/07/2005
- Copy of IPE Report filed on 04/07/2005
- Copy of Annexes to the IPER filed on 04/07/2005
- Preliminary Amendments filed on 04/07/2005
- Oath or Declaration filed on 04/07/2005
- Request for Immediate Examination filed on 04/07/2005
- U.S. Basic National Fees filed on 04/07/2005
- Priority Documents filed on 04/07/2005
- Specification filed on 04/07/2005
- Claims filed on 04/07/2005
- Abstracts filed on 04/07/2005
- Drawings filed on 04/07/2005

RECEIVED
 2005 SEP -7 AM 8:54
 WELSH & KATZ, LTD.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$360** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

not comply with 37 CFR 1.66(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$490** for a Large Entity:

- **\$130** Surcharge.
- Total additional claim fee(s) for this application is **\$ 360**
 - **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,765	PCT/HU03/00079	9084-94021

National Phase of PCT Patent Application PCT/HU2003/000079
in the United States in the name of HLATKI et al.
**Entitled: METHOD AND TREATMENT AND PREVENTION OF ASPHALTENE-
PARAFINN-VAX PRECIPITATES IN OIL-WELLS, WELLHEADS AND PIPELINES
BY THE USE OF BIOCOLLOID SUSPENSIONS**
Attorney Docket No. 9084-94021

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
(37 CFR 2.198)

Express Mail No. EV 731140072 US

I hereby certify that the following papers (identified below) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 2.198 in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on this 28th day of October, 2005.

Carl Stanley
Carl Stanley

Carl Stanley
(Signature of Person Mailing Correspondence)

Enclosed:

- ☒ Form PTO-1390 Transmittal Letter to the United States Designated/Elected Office Concerning a Submission Under 35 U.S.C. 371 (in duplicate);
- ☒ Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office;
- ☒ Executed Combined Declaration and Power of Attorney (3 pages);
- ☒ Form PTO-1449 (3 U.S. Patents, 2 Foreign Patents and 13 Other Documents);
- ☒ One check in the amount of \$130.00;
- ☒ Form PTO-1595 Recordation Form Cover Sheet w/Executed Assignment (3 pages);
- ☒ One check in the amount of \$40.00;
- ☒ Addressed Postage Paid Receipt Postcard.